

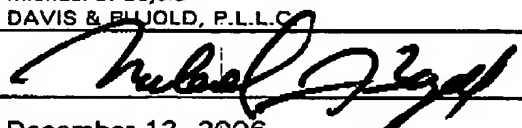

DEC 13 2006

PTO/SB/21 (12-97)

Approved for use through 9/30/00. OMB 0851-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/803,012
	Filing Date	March 16, 2004
	First Named Inventor	Ivan TO
	Group Art Unit	2879
	Examiner Name	Jeffrey A. Sharp Fax: (571) 273-8300
Total No. of Pages in this Submission: 5	Attorney Docket Number	THOLAM P226US
ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request (in Duplicate) <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Part/s Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition (DELETED - no longer useful) <input type="checkbox"/> To Convert a Provisional Petition <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Small Entity Statement <input checked="" type="checkbox"/> Request for Refund	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below): 1 pg. Copy of Monthly Statement of Deposit Account revised June 6, 2006 Information Disclosure Statements revised July 7, 2006 Information Disclosure Statements
REMARKS		
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm or Individual Name	Michael J. Bujold DAVIS & BUJOLD, P.L.L.C. <div style="text-align: right;">Reg. No. 32,018 CUSTOMER NO. 020210</div>	
Signature		
Date	December 13, 2006	
CERTIFICATE OF TRANSMISSION		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO on December 13, 2006		
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12/13/6

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Ivan TO
Serial no.	:	10/803,012
Filed	:	March 16, 2004
For	:	LUMINESCENT SIGNAGE COMPONENT
Group Art Unit	:	2879
Examiner	:	Jeffrey A. Sharp
Docket	:	THOLAM P226US

The Commissioner for Patents
U.S. Patent & Trademark Office
P. O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Dear Sir:

On July 14, 2006, Deposit Account No. 04-0213 was charged \$180.00 as fee code 1806 and PTO Control No. 2, see attached copy of Monthly Statement of Deposit Account, for alleged fee deficiencies relating to the above-referenced application. It is believed that this charge to our deposit account is in error for the following reason.

On June 6, 2006 and on July 7, 2006, the Applicant filed two Information Disclosure Statement and inadvertently failed to certify, in both of those Information Disclosure Statements, that those references have only come to the attention of the Applicant within the last three (3) months. Accordingly, enclosed please find revised June 6, 2006 and revised July 7, 2006 Information Disclosure Statements which both contain the necessary certification. In view of this further submission and accompanying certification, it is respectfully submitted that the official fee for late submission of prior art is not necessary and a refund of the \$180.00 charge to our deposit account is now in error.

Consequently, it is submitted that this second \$180.00 charge to our deposit account is in error and a refund, in the form of a check refunding the charged amount and payable to the office of the undersigned, should be issued by the Patent Office. In the event that the Patent Office disagrees with the above, it is respectfully requested that the Patent Office forward to the undersigned a formal explanation of why a refund is not proper in this instance.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,


Michael J. Bujold, Reg. No. 32,018

Customer No. 020240

Davis & Bujold, P.L.L.C.

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6/06/06

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Ivan TO
Serial no.	:	10/803,012
Filed	:	March 16, 2004
For	:	LUMINESCENT SIGNAGE COMPONENT
Group Art Unit	:	2879
Examiner	:	Mark T. Vogelbacker
Docket	:	THOLAM P226US

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

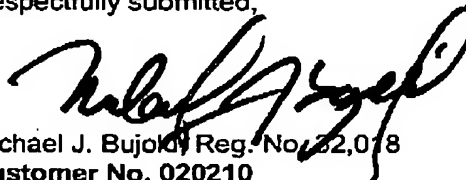
In connection with this matter, the Applicant hereby attaches United States Patent Office Form PTO/SB/08a and copies of the information listed in the enclosed PTO/SB/08a form, unless otherwise indicated on such Form.

CERTIFICATION

The undersigned hereby certifies that each item of information contained in the Information Disclosure Statement mailed under a June 6, 2006 Certificate of Mailing was cited in a communication from the Canadian Patent Office dated May 12, 2006 in a counterpart foreign application(s) not more than three (3) months prior to the date of filing of this Statement.04-0213).

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,



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DEC 13 2006

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of	:	Ivan TO
Serial no.	:	10/803,012
Filed	:	March 16, 2004
For	:	LUMINESCENT SIGNAGE COMPONENT
Group Art Unit	:	2879
Examiner	:	Mark T. VOGELBACKER
Docket	:	THOLAM P226US

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INFORMATION DISCLOSURE STATEMENT

Dear Sir:

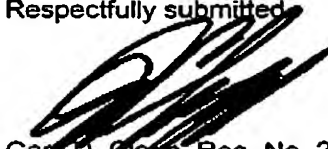
In connection with this matter, the Applicant hereby attaches United States Patent Office Form PTO/SB/08a and copies of the information listed in the enclosed PTO/SB/08a form, unless otherwise indicated on such Form.

CERTIFICATION

The undersigned hereby certifies that each item of information contained in the Information Disclosure Statement mailed under a July 7, 2006 Certificate of Mailing was cited in a communication from the Canadian Patent Office dated May 5, 2006 in a counterpart foreign application(s) not more than three (3) months prior to the date of filing of this Statement.04-0213).

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,



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To replenish your deposit account, detach and return top portion with your check. Make checks payable to "Director of the USPTO."

DAVIS & BUJOLD P.L.L.C.
TRACY A. CLARK/ SALLY RAVANELLE
112 PLEASANT STREET
CONCORD NH 03301

FINA

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Page	1

PLEASE SEND REMITTANCES TO:
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7 21 06	107	11166399	THOLAM P269US	8021	40.00	3473.00
7 24 06	64	10480698	INTSER P31AUS	1806	180.00	3293.00
7 24 06	312	11411977	VIANAN P16AUS	8021	40.00	3253.00
7 26 06	9	60776312	LORWER P43AUSPR	1807	50.00	3203.00
7 26 06	23	6056152	NITROS P176US	8021	40.00	3163.00
7 26 06	384	11487043	ZAHFRI P871US	8021	40.00	3123.00
7 26 06	446	11411977	VIANAN P16AUS	8021	40.00	3083.00
7 28 06	381	11488349	SUMPAC P24AUS	8021	40.00	3043.00
7 31 06	1788	78940963	MEDCOR T03AUS	7001	325.00	2718.00
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT			OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE
			3983.00	1805.00	540.00	2718.00

45020D (5/2006)

*** O.D. INDICATES OVERDRAWN

11/330,646

Reconsideration of this requirement for election of species is respectfully requested in view of the following remarks.

The Applicant believes that the present invention contains various embodiments of a single inventive concept. All 15 species contain the features presently recited in claim 52 which is currently generic to all of the species. Moreover, the Applicant believes that claim 52 is allowable and consequently all species of the invention should be prosecuted in one and the same application for efficiency reasons. It is respectfully submitted that all of the species of the invention represent similar ways of obtaining the same desired results disclosed within this application.

Notwithstanding the above, in order to fulfill the Examiner's request, the Applicant provisionally elects, with traverse, to prosecute the species of the claimed invention shown in Figure 17 of the drawings. The Applicant also provisionally elects, with traverse, claims 52, 64, 65, 72 which are readable on the elected species to be prosecuted on the merits.

Further, the Applicant respectfully submits that the embodiments of Figure 18 is so closely related to the embodiment of Figure 17 so as to form, at the very least, a single embodiment along with the embodiment of Figure 17. That is, Figures 17 and 18, at the very least, are believed to disclose a single embodiment that, for efficiency reasons, should be prosecuted in one and the same application.

During further prosecution of this application, the Applicant reserves the right to elect additional claims currently pending claims as well enter new claims directed to the elected embodiment of Figure 17.

The Applicant does not waive any rights with respect to the non-elected species and does not intend to abandon that subject matter. If the Examiner makes the election requirement final, the Applicant respectfully requests that the non-elected species be withdrawn from further consideration but remain in this application subject to reinstatement, in the event that a generic claim is allowed, or for possible filing of a divisional application(s).

In view of the foregoing, it is respectfully submitted that the outstanding election of species requirement should be withdrawn and examination of all claims pending in this application, on the merits, is respectfully requested at the present time.

12/13/06 2:04 PM

- 2 -